



## **Doctor of Philosophy (Ph.D.) Programme**

### **Overview**

The programme offers highly qualified and intellectually outstanding students an opportunity to pursue their research work at an advanced level in a high challenging but academically conducive environment. In pursuance of the UGC (Minimum standards and procedure for awards of M.Phil/Ph.D. degree) Regulation 2009, the Ph.D., programme of the University, is intended for those who wish to pursue research work after completing their postgraduate degrees in law and to develop higher research aptitude, and considering an academic career. With a view to encouraging interdisciplinary studies with strong legal component, the National Law University, Orissa encourages candidates from other disciplines to register for Ph.D.

### **Eligibility**

An applicant seeking admission to Ph.D. Degree programme must have: Master's Degree in Law from any recognized University securing not less than 55% marks or its equivalent in grade; or Master's Degree in Law from any recognized University with 50% marks or its equivalent in grade and have had at least five years full-time teaching/judicial/professional/administrative experience in the subject; or Degree in Law and a Masters Degree in Social Sciences or Humanities, Management Studies or Sciences from any recognized University with not less than 55% marks or its equivalent in grade; or obtained a Degree in Law and a Masters Degree in Social Sciences or Humanities, Management Studies or Sciences from any recognized University with 50% marks and have had at least five years full time teaching/judicial/professional/administrative experience in the subject; or obtained a Master's Degree in Social Sciences, Sciences, Humanities or Management from any recognize University with 55% marks and have had at least two years of teaching experience; or Ph.D. in any discipline; or Judge of any State High Court in India or the Supreme Court of India

Provided that candidates with qualifications in Social Sciences, Humanities and Sciences shall be admitted to the programme, if the research they wish to pursue, interfaces with the discipline of Law.

### **Categories of Enrolment**

#### **A. Full-time enrolment:**

1 Enrolment for the Ph.D. Programme shall ordinarily be full-time. 2 The candidate shall put in residence in the University for at least a total period of 18 months spread over the three year period.1 Provided that the said period of 18 months, may be reduced or dispensed with by the ViceChancellor, with the approval/permission of the Academic Council. 3 Three years shall be the maximum period in which a full time candidate should complete the work and submit the thesis for evaluation.

#### **B. Enrolment of a candidate, serving as a Teacher:**

1) In National Law University, Orissa i. There shall be a provision for enrolment of candidates, serving as Teachers in National Law University Orissa, for the Ph. D Program. A Teacher-candidate, [referred to sub-clause (i) of Clause (1) of Part B], shall put in a minimum of 18 months of study in the University, from the date of registration/enrolment, before he/she can submit his/her thesis for evaluation and award of Degree. Provided that a Teacher-candidate [referred to sub-clause (i) of Clause (1) of Part B], may submit his/her thesis before the stipulated period of 18 months, but after completing a minimum of 12 months of study from the date of registration/enrolment, with the permission of the Academic Council.

Five years shall be the maximum period in which the Teacher-candidate, [referred to subclause (i) of Clause (1) of Part B], shall complete work and submit it for evaluation.

Not applicable to candidates, serving as faculty members in National Law University Orissa, or in a Law College/Faculty, other than National Law University, Orissa.

2) In a Law College/University, other than National Law University Orissa:

i. There shall be a provision for enrolment of candidates serving as Teachers, in any Law Faculty/College, other than National Law University Orissa, for the Ph.D. programme. A Teacher-candidate [referred to sub-clause (i), of Clause (2) of Part B] shall put in a minimum of 18 months of study in the University, including the time spent for research at any other place, with the permission of the Supervisor. Five years shall be the maximum period in which the Teacher-candidate [referred to subclause (i), of Clause (2) of Part B] shall complete the work and submit it for evaluation.

#### **C. Part-Time Enrolment:**

1 There shall be provision for part-time enrolment for the Ph.D. programme.

2 A Part-time candidate shall put in a minimum of three years of part-time study in the University including time spent for research at any other place with the permission of the Supervisor.

3 A part-time candidate shall put in residence in the University for at least a total period of 12 months spread over the three year period. Provided that the said period of 12 months, may be reduced or dispensed with by the Vice-Chancellor, with the approval/permission of the Academic Council.

4 Five years shall be the maximum period in which the part-time candidate shall complete work and submit it for evaluation.

Note: In case of candidates under Clauses (C) and (D) above, the Supervisor of the candidate shall ensure monitoring of the research work done by the candidate outside the University campus by insisting on production of adequate proof of work done.

### **Admission Procedure**

Eligible candidates may apply in any of the abovementioned categories in the prescribed form which can be downloaded from University website ([www.nluo.ac.in](http://www.nluo.ac.in)) and sent to the Registrar with a demand draft of Rs. 1000/- in favour of Registrar, National Law University, Orissa payable at Cuttack. Along with the application, a candidate is required to submit the followings: 1 An outline of the scheme of research intended for the thesis ; 2 A chapter-wise proposal of the work in about 2,000 words ; 3 A Certificate from the institution concerned testifying length of service, wherever required; 4 An attested photocopy of LL.M., M.Phil., and M.A., M.Sc., M.Com.,M.B.A. Degrees whichever is applicable, along with the marks sheet ; and 5 One set of published papers, monographs, articles and books, if any.

### **Admission Test**

1 The University will hold a RESEARCH ENTRANCE TEST (NLUORET) in the month of August at Cuttack for admission to Ph. D. Degree for the academic session 2010-11. 2 The test shall be of 2 hours duration, carrying 200 marks, consisting of 50 multiplechoice questions and 2 descriptive answer questions. Registration to the Ph.D. programme shall be based, inter alia , on the performance of the candidate in the entrance test and the interview conducted by the Research Council constituted for this purpose with the Chairman and two or more Faculty members of NLUO or outside experts nominated by the Vice-Chancellor.

### **Fee Structure**

If the Admission Committee finds a candidate suitable for pursuing Ph.D. Degree programme, the candidate shall be allowed to provisionally 'register' for the Ph.D. programme on payment of following fee:

Admission Fee:	Rs. 5000/-
Retention Fee for every completed six months Fee:	Rs. 1000/
Fee to be paid at the time of submission:	Rs. 5000/
Library Fee/Internet Fee/Electricity charges*	Rs. 18000/-p.a.
Hostel Fee (for full time candidates)	

\*Not applicable for NLUO faculty members.

### **Research Supervisor**

The Research Council shall appoint one or more persons holding an appointment with the University to supervise programme of study of a candidate admitted to the Ph.D. programme. Provided that, when the programme of study so requires, an external supervisor possessing expertise and experience in the area of study may be appointed, in addition to at least one internal supervisor who shall be a full time academic staff member. In case the internal supervisor retires from the service of the university or leaves the university for some other reason, he/she shall continue to be the supervisor for that candidate. If needed another internal full time teacher having expertise in the area of research may be appointed as co – supervisor.

### **Course Work**

The admitted candidates are required to attend the “Research Methodology and Data Analysis” and “Scientific Communication” classes conducted by the University and to obtain a passing Grade.

1. The Scholars shall secure atleast 50% of marks in each paper.
2. Scholars failing to secure 50% of marks in the courses mentioned above, may be provided an opportunity to re-appear one or more time(s) within three months of the publication of results.
3. The scholar shall be allowed to continue further research work only after completing the course work as mentioned above.

### **Report Submission & Presentation**

a) There shall be a minimum of **3 presentations** by each of the candidates admitted for the doctoral programmes. The **first presentation** would require the candidate to detail out the identified problem, research outline, a set of research questions, proposed methodological approach, besides survey of literature. The **second presentation** would be at the mid stage of

the research work that would explain and analyse the progress made by the researcher. The **third and the final presentation** would give the details of the research findings, conclusions and suggestions.

b) There shall be a gap of at least 6 months between each presentation.

### **Evaluation**

a) Prior to submission of the thesis, the scholars are required to submit a draft thesis to the Supervisor and make a presentation which is open to all the faculty and research scholars for comments which may suitably be incorporated into the draft thesis on the advice of the Supervisor.

b) The candidates are required to submit the thesis in quadruplicate after paying the requisite fee.

c) The thesis shall be in English and it shall be the result of scholar's own work keeping in mind the standard of literary presentation.

d) The thesis should not include any other work done by the scholar for any other degree successfully completed at the present University or elsewhere.

e) The scholar should obtain a certificate from the Supervisor and the thesis should contain the same.

f) There shall be at least two external experts and one supervisor on the panel to evaluate the thesis.

g) The thesis submitted by the candidate will be sent to two external examiners selected by the Vice Chancellor, from out of a panel of ten external examiners submitted by the Supervisor. The majority opinion of examiners shall be taken into consideration for determining the award of Ph.D programme. If the two of the external examiners recommend that there should be a Viva-voce, the same shall be held at the NLUO with one of the external examiners along with the Supervisor.

h) After receiving the satisfactory assessment report from the examiners, the Degree shall or shall not be awarded to the Scholar. If the examiner's assessment does not qualify for the award of the degree, the examiners shall indicate whether additional work should be undertaken by the scholar or whether the thesis should be rejected outright.

i) If the three examiners recommend unanimously the award of the Degree, the Viva voce examination to be conducted by one of the three examiners who evaluated the thesis. After successful conduct of viva-voce examination, the award of the Degree is recommended and in turn recommends the same to the Academic council.

j) Where a thesis fails to obtain the unanimous recommendation of all the examiners or where after the viva-voce examination, the PG Council may decide:

1. to recommend that the degree be not awarded to the scholar; or
2. to recommend that the scholar undertake additional work on the thesis and submit a revised thesis within a specified period;
3. a scholar who is required to re-submit a revised thesis for evaluation shall be re-registered for a period of not less than two years;
4. Only one resubmission will be permitted.

### **Award of Degree**

a) On completion of the Viva-voce examination and on receipt of the report by the external examiners indicating whether or not the candidate is fit for the award of the Degree, the Degree shall be awarded at the time of the Annual Convocation. However, the candidate is entitled to take a Provisional Certificate as and when the results are declared.

b) In case of two of external examiners not recommending the award of the Degree, the candidate shall be provided through the guide the copy of the suggestions / comments made by the examiners. The candidate is required to resubmit the thesis after incorporating the suggestions / comments and submit the modified thesis within **three months** along with the prescribed fee.

### **Expiry/Lapse of Enrolment**

Enrolment for the Ph.D. shall be deemed to have lapsed after three years from the date of Enrolment in the case of full-time students and five years in the case of part-time students. In such cases, however the Research Council, on the application by the concerned student, may give extension of time for a period of six months at a time but for a maximum period of not more than one year.